



317

PATENT

Docket No. 2016-4010US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#16
Jmaon
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Applicant(s) or Patentee(s) : Jason D. Bannan et al. Group Art Unit: To be assigned
Serial No. : 09/335,581 Examiner: To be assigned
Filed or Issued : June 18, 1999
For : **PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK**

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR § 1.9 (f) and § 1.27 (d)) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION The Rockefeller University

ADDRESS OF ORGANIZATION 1230 York Avenue, New York, NY 10021-6399

TYPE OF ORGANIZATION

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
☐ Nonprofit scientific or educational under statute of state of The United States of America

(Name of state _____)

(Citation of statute _____)

- ☒ Would qualify as tax exempt under Internal Revenue Service code (26 USC §§ 501(a) and 501(c) (3)) if located in the United States of America
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in the United States of America

(Name of state _____)

(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR § 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled PEPTIDES USEFUL FOR REDUCING SYMPTOMS OF TOXIC SHOCK SYNDROME AND SEPTIC SHOCK by inventor(s) Jason D. Bannan, Kumar Visvanathan and John B. Zabriskie described in

- ☐ the specification filed herewith
☒ application Serial No. 09/335,581, filed June 18, 1999
☐ Patent No. _____, issued _____



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1	LET.	4
2	OATH	12

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